

**TERMS OF REFERENCE FOR HIRING OF EXTERNAL
EVALUATION CONSULTANT FOR EVALUATION OF IMPLEMENTATION OF THE
RESETTLEMENT ACTION PLAN UNDER UPCRNDP**

Name of Project :- U.P. Core Road Network Development Project (UPCRNDP)
Loan No. : - 8940-IN-World Bank

PROJECT BACKGROUND:

1. In the last decade, the Government of Uttar Pradesh (GoUP) has made significant investments to enhance its transport infrastructure. Rural road networks have substantially increased in the state and are being supplemented by huge investments planned in state highways and urban transport infrastructure by GoUP. The state highways are increasingly gaining importance in terms serving mobility needs of people both inter-city and rural/Urban. GoUP, through the Department of Economic affairs, Govt. of India has received the assistance of the World Bank for the improvement of State roads comprising a select network of state highways in Uttar Pradesh. The World Bank assistance, delivered through the proposed Uttar Pradesh Core Roads Network Development Project (UPCRNDP).
2. The state has a road network of 299,604 km, out of which 240,854 km is under Uttar Pradesh Public Works Department (UPPWD). The roads under UPPWD comprise 8,448 km of National Highways (NHs), 6,892 km of State Highways (SHs), 7,377 km of Major District Roads (MDRs), 49,405 km of Other District Roads (ODRs) and 168,692 km of Village Roads (VRs) as per performance budget of 2018-19 of UPPWD.
3. With a view to improve the transport network system, UP PWD has identified 24,095 km of Core Road Network (CRN), comprising NH, SH, MDR and ODRs for development. NH is not part of UP PWD, hence remaining roads are being envisaged for up gradation under various schemes. The Core road development works will consist of raising the formation level, widening to full two/four lanes from the existing single, intermediate & two-lane widths, and/or pavement rehabilitation/strengthening. Road sections with high volumes of non-motorized traffic will be widened to 10m with 1.5m full paved shoulders. Road stretches crossing urban areas may also require upgrading to a four-lane cross

section, and/or provision for drains, sidewalks and parking where required. In some cases, new alignments (bypasses and/or re-alignments may also be required

PROJECT ROADS DETAILS:

1. The list of roads in Phase-I on which construction activity is ongoing are as below:-

S.No.	Road/Works	Category	Length (km)
1.	Hamirpur-Rath	SH-42	76
2.	Garautha-Chirgaon	SH-42	50
3.	Gola-Shahjahanpur Road	SH-93	59
4.	Badaun-Bilsi-Bijnaour	SH-51	79

2. The list of roads identified in Phase-II for upgradation is as below:

S.No.	Road/Works	Category	Length (km)
1.	Rath-Garautha (missing link)	SH-42	37
2.	Moradabad-Haridwar-Dehradun Road	SH-49	36
3.	Garh-Syana-Bulandshahar Road	SH-65	49.50
4.	Baraich-Gonda-Faizabad Road	SH-30	60.10
5.	Hamidpur-Kuchesar Road	SH-100	19.10
6.	Bansi-Mehdawal-Khalilabad Road	SH-88	32

The institutional arrangements provides for engagement of an external agency for evaluation of RAP implementation twice in the project cycle, once at mid-term and again at end-term. In this context, UP PWD now intends to engage the services of an external consultancy firm/agency to undertake the evaluation of the R&R components of the project in Phase I roads as per the scope of services provided below.

1. Project Category

The Project is assigned as Category A for Involuntary Resettlement as the project is likely to have significant adverse social impacts.

2. Objective(s) of the Assignment

The objectives of the evaluation is to ensure that project has followed the process and approved policy of the project. The periodic evaluation will provide an assessment of implementation of RAP to enable timely adjustments of implementation setup and also verify whether the objective of resettlement have been achieved or not. To achieve this aim, objective of the project are:

- (a) to ensure timely implementation of Resettlement Action Plan (RAP) without deviation
- (b) to assess whether the implementation of RAP is as per the R&R policy and RAP document
- (c) to evaluate whether the outcome of the social development objectives of the project are being achieved with respect to
 - Those who have physically resettled (displace families)
 - those who have been assisted in re-establishing their income
 - those who have lost their land (if any)
 - functioning of reconstructed common property resources

3. Scope of Services

The scope of work for consultant would be:

The consultant shall undertake an evaluation of the R&R component of the project. The evaluation should focus on the outcome of the implementation. It shall include but not limited to:

- Review the NGO's terms of reference, implementation progress reports, and microplans and assess whether they are in the line with the agreed RAP;
- Review documentation of (a) socioeconomic data; (b) adequacy and timely payment of compensation for private land; (c) adequacy of consultations; (d) GRC meetings; (e) disbursement of R&R assistances; and (f) skill mapping and income restoration activities
- Agree on the evaluation indicators with PWD and World Bank
- Assess whether the goal of RAP to improve or restore the livelihood of the PAPs has been achieved
- Conduct survey among sample PAPs to assess their feedback regarding the compensation and resettlement assistances and process adopted, whether the compensation and resettlement assistances provided were sufficient for short term income restoration, helped in improving the living standards, utilization pattern of compensation and assistances, and

consultation process and community engagement (assess as to whether the consultation and participation of the people enabled better implementation of the RAP);. Identification of gaps (if any); suggest remedial measures and assess compliance with RAP

- Assess as to whether the vulnerable groups benefited from the project, and to what extent;
- Assess the effectiveness of the provisions of RAP in the context of the diverse social and cultural groups;
- Assess socioeconomic impacts of the project on agriculture and agrarian population in the vicinity of the project
- Assess the impact of the project specific measures to address the issues of (a) the quality of life of the PAPs; (b) health and hygiene; (c) gender sensitivity and empowerment and issues related to gender based violence; (d) sexually transmitted diseases (STDs) and (e) trafficking of women and children
- Check the type of grievance issues and the functioning of grievance redress mechanisms by reviewing appeals at all levels and interviewing aggrieved PAPs
- Assess adequacy of Institutional Arrangements and capacity of UP PWD to implement the RAP
- Assess condition of labour camp and compliance with EMP
- Benefits accrued to PAPs and difficulties encountered

The consultant shall undertake an assessment of People's perception about the processes adopted for implementation of RAP including about the (a) compensation and assistances received; (b) new relocation sites; (c) relation with the host community; (d) grievance redress committee (e) The services of the NGO and UP PWD.

4. Sampling

The consultant shall undertake at least 50% of the total affected households as sample size for the evaluation. The distribution of households for impact evaluation should be proportionate to the number of households in different categories of impact.

5. Methodology

Indicative methods for conducting monitoring and evaluation are provided below. However, the consultants shall follow suitable methodology based on their judgments of project site conditions.

- Review of project documents
- Project site visits
- Consultations (Focus Group Discussions, Individual discussions, Depth interviews, etc)
- Observations
- Social Mapping
- Questionnaire Survey, and
- Case studies
- Other suitable method

6. Deliverable & Time Lines

The following are the key outputs from the consultants:

Deliverable	No. of Copies	Time Line (from the date of commencement of services)
Inception Report	5	15 days
Draft Final Evaluation Report	3	4 th Month
Final Evaluation Report with recommendations for Phase II roads	5	6 th Month

Along with the Evaluation reports, the CA will submit a brief note on the critical issues and suggest actions required from various partners, i.e. NGO, UP PWD and Contractor. The CA will submit all the reports and the accompanying notes in properly bound hard copies, along with the soft copies on CD.

7. Team Composition & Qualification Requirements

The team composition and qualification required for the assignment are provided below:

Personnel	Minimum Qualification	Preferable qualification	Experience
Key Staff			
Team leader cum cum M&E Expert	Masters Degree in Social Sciences/ Rural Development/ Development Studies from recognized University/ Autonomous Institutions in India or abroad	Higher degrees (like M. Phil, Ph. D.)	<p>Minimum 12 years of relevant experience in preparation, implementation, monitoring and evaluation of RAP.</p> <p>Must have worked as Team Leader for at least 3 years out of the total relevant experience.</p> <p>Experience of handling Social Safeguards Policies of Multi-lateral funding agencies like World Bank/ ADB/AIIB etc of at least 2 linear projects</p>
R&R Expert	-do-	-do-	<p>Minimum 10 years of relevant experience in preparation, implementation, monitoring and evaluation of RAP.</p> <p>Must have worked as SIA/R&R expert/specialist for at least 3 years out of the total relevant experience.</p> <p>Experience of handling Social Safeguards Policies of Multi-lateral funding agencies like World Bank/ ADB/ AIIB etc of at least 2 projects, preferably transport sector project.</p>
Non-key Staff			
Support Staff**	Graduate/Post Graduate (Social Sciences/ Rural Development/ Development Studies/	-	Minimum 6 years of relevant experience in R&R aspects, data collection, land acquisition, etc.

	Management from recognized University/ Autonomous Institutions in India or abroad		
**Not to be evaluated – suitable number			

8. Review Committee:

The composition of the Review Committee (UP PWD):

1. Chief Engineer, Externally Aided Projects/UPCRNDP
2. Project Director Externally Aided Projects/UPCRNDP
3. Executive Engineer, ESD Cell/ UPCRNDP
4. Social Expert, ESD Cell/ UPCRNDP

The Review Committee shall review all reports submitted by the consultant and subsequently after the approval of the report the payment shall be made.