

Reply to queries in Prebid Meeting on EOI for Comprehensive Integrated Consultancy Services for Road Safety Audit of Roads in UPPWD, held at 11 am on 21.12.2020 in office of Superintending Engineer, Lucknow Circle, PWD, Lucknow.

Following is the brief of queries received from the Consultants, including online references :

Sl. No	Reference Clause	Clause	Query	Comment
1	Clause 5, Page no. 4	In Clause 5 of first line mentioned that "Applications/Proposal shall be submitted in Hard Copy." And In Note of below Clause 5 mentioned that "such documents / evidences in original shall have to produced at the time of evaluation of the applications, if asked for by the selection Committee (SC),"	Kindly confirm whether hard copy of technical proposal is to be submitted before evaluation or later during the evaluation if the authority asks for.  Also, please specify the submission mode whether it is to be submitted by speed past, courier service.	Documentary evidences in support of statements to be submitted as and when required by the Selection Committee. Only information is to be provided at this stage.
2	Clause 9.2, Page no. 6	No single firm shall be permitted to submit two separate offers either as individual or as associate/consortium or under two different associate / consortium.	As the clause mentions for associate or consortium, please clarify and confirm whether the consultant can participate in JV or association/consortium.	JVs/Consortiums/associations are not allowed to participate. Clause 9.2 stands corrected to this extent.
3	Clause 7	Qualification Criteria and their weightages	Please provide break up of detail weightage to the sub-criteria. For Example: It is mentioned that 20 % weightage given to the Number of year's relevant experience. But for how much Year's relevant experience is required to obtain full 20 % weightage is not given. Similarly in all other heads, no bifurcation of markings is provided. Hence, we request the authority to provide the more refined breakup of the markings.	As mentioned in Clause 7.3, scoring pattern for various criteria and sub-criteria shall be decided by the Selection Committee, before evaluation of proposals.

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21.12.2020

# **Request for Expression of Interest (EOI)**



**Name of the Work – Comprehensive Integrated  
Consultancy Services for Road Safety Audit of  
Roads in UPPWD.**

**Place of Work – Uttar Pradesh.**

**NIT No. 7091/523(2)C-LKO.CIR/2020-21 DT. 02-12-2020**

**UPPublic Works Department,  
96, Mahatma Gandhi Marg,  
Lucknow**

**Nodal Office – I.D.S. Circle, UPPWD, Lucknow  
Ph. No. 0522-2235602**

**Email – seidslko@gmail.com**

**e-tender portal – <http://www.etender.up.nic.in>  
UPPWD website – <http://www.uppwd.gov.in>**

## **REQUEST FOR EXPRESSION OF INTEREST**

### **Name of the Work – Comprehensive Integrated Consultancy Services for Road Safety Audit of Roads in UPPWD**

#### **1. INTRODUCTION**

- 1.1. There is a huge road network of around 2,44,000 kms of roads in Uttar Pradesh (UP) under jurisdiction of Uttar Pradesh Public Works Department (UPPWD), comprising of 12,000 Km. of State Highways, 5,000 kms of Major District Roads, 49,000 kms of Other District Roads and 1,78,000 kms of Village Roads. The UPPWD is responsible for planning, construction, up-gradation, maintenance and overall management of this road network with liberal allocation of funds from the Govt. of India, EAPs' in addition to budget allocation from the State Government.
- 1.2. Road safety is a global concern and is a sustainable development issue that needs greater attention. The major contributors to these numbers are low-to-middle income countries like India. The numbers suggest that at least 413 people died every day in 1317 road accidents. India accounts for highest nos. of road deaths in world i.e. 10% of global road accidents global deaths (International Road Federation).
- 1.3. The State has witnessed a large number of accidents during the past few years with substantial loss of precious lives and property. Despite coordinated effort by Road Safety Cell (RSC) established under Transport Department in the State, very little has been achieved in controlling number of road crashes and fatalities. Considerable number of crashes have been recorded on NH category roads, however, share of crashes on roads lower in hierarchy is also noticeable and needs proactive measures to effectively mitigate loss of lives and consecutive trauma of the crash victims.
- 1.4. RSC in the State has started periodic analysis of this accident data and accordingly evolve and implement engineering, enforcement and education (EEE) interventions with active participation of other stakeholder departments including Police Department, Engineering Departments and Health Department.
- 1.5. The Consultant shall be required to utilize this data for identification of most vulnerable roads other than National Highway / NHAI roads in all districts of the State where large number of accidents have taken place with very high rate of fatalities in past three years, i.e., 2018, 2019 and 2020.
- 1.6. In addition, UPPWD also intend to mandate Road Safety Audit of all the project roads sanctioned for capacity enhancement of the road network before according the Technical Sanction, in order to incorporate all road safety measures in the Detailed Estimate. Approximate length of projects sanctioned in past three years is 2700 kms, 2850 kms and ,1900 kms in the years 2017-18, 2018-19 and 2019-20 respectively.
- 1.7. Audit of work zone safety measures in major projects is also envisaged.

#### **2. OBJECTIVE OF REQUEST FOR EOI**

- 2.1. The objective is to assist the Public Works Department, Uttar Pradesh to improve the road network from road safety perspective.
  - 2.1.1. Provide high quality professional advice and management and implementation support to the UPPWD to effectively implement the Road Safety aspects in the selected road segments.
  - 2.1.2. To Assist the UPPWD Zonal Offices to achieve a good degree of knowledge pool in managing the road safety aspects within the organization so that by the time the consultant completes its assignment, the UPPWD and Zonal Offices are able to manage their affairs sustainably and continually improve their performance in the future. Conduct Road Safety Audits as per the internationally accepted standard and formats in stipulated time frame on the vulnerable roads having maximum accidents with high rate of fatalities in all 75 districts of Uttar Pradesh, to be

selected on the basis of crash data compiled by Road Safety Cell under Transport Department, and on road segments for all projects sanctioned concerning to capacity enhancement of roads prior to award of Technical Sanction by competent authority of the department, in close co-ordination with the UPPWD.

2.1.3. Organize cross learning workshops among participating zones on six-monthly basis where best practices and experience and technical aspects can be discussed openly.

2.1.4. Review current measures being adopted to improve work zone safety management by addressing technical, contractual and institutional dimensions related to safety during road construction including the implementation and enforcement mechanism for safety management during the construction stage.

2.2. Vide G.O. No. 14/2020/B-2-55/10-2020 dated 25-03-2020, Department of Finance, GoUP has approved “Manual for Procurement of Consultancy & Other Services, 2017” issued by Ministry of Finance, Department of Expenditure, GOI for procurement of consultancy and other services in the State PWD as well. UPPWD has decided to obtain the services of reputed consultants through short listing accordingly (mutatis – mutandis) who will assist UPPWD for undertaking various activities to be taken up in the state of Uttar Pradesh related to road safety audit of new projects and also on existing roads as per requirement through invitation of EOI. For each assignment consisting of projects/tasks, selection of the Consultant shall be done separately through selective competitive bidding amongst the empaneled consultants.

2.3. The procurement of Consultants shall be done in a two-stage process. In the first stage of procurement the qualified firms shall be shortlisted transparently through invitation of Expression of Interest (EOI). In the second stage through Request for Proposal (RFP) technical and financial bid shall be invited from shortlisted applicants for selection of the Consultants for the project/task.

### 3. **NOTICE INVITING EOI**

3.1. Notice Inviting EOI is enclosed as **Annexure-I** and shall be published in Hindi & English daily newspapers with wide circulation through Director of Information GoUP Lucknow and shall be available on e-tender portal of GoUP i.e. **www.etender.up.nic.in** and UPPWD website **www.uppwd.gov.in** from the date of publishing as mentioned in EOI timelines.

3.2. Short listing of Consultants shall be done transparently by invitation of Expression of Interest through e-tender portal of GoUP i.e. **www.etender.up.nic.in** or linked through website of UPPWD i.e. **www.uppwd.gov.in**.

3.3. There is no EOI processing & document fee to be paid online for submission of application.

#### 3.4. EOI TIMELINES

EOI offer shall be submitted in hardcopy as per following schedule:

**Table : IMPORTANT DATES**

1	NIT Date	02.12.2020
2	EOI Document Download Start Date / End Date	12.12.2020 12 pm/ 05.01.2021 12 pm
3	EOI Submission Start Date	12.12.2020 12pm
4	Prebid Meeting Date	21.12.2020; 11 am
5	EOI Submission End Date	05.01.2021; 3:00 pm

6	EOI Opening Date	05.01.2021; 3:30 pm
7	Result Declaration	List of shortlisted Consultant shall be displayed on the website.

4. **EOI Processing and Document FEE & EMD**

There is no EOI Processing and Document Fee and EMD (Earnest Money Deposit).

5. **SUBMISSION REQUIREMENT**

Applications/Proposals shall be submitted in hard copy. The interested applicants are advised to visit this website regularly to keep themselves updated as any change/ modification in the EOI invitation will be intimated through this website only.

Applicants are advised to submit the scanned copies of the following documents:

- General Information regarding type of the organization, core business of the firm, firm's years of experience in the related field etc. (as per **Format-A**).
- Details of successfully completed Road Safety related works (General Experience) (as per **Format-B**).
- Project completed in India/Abroad (as per **Format-'C'**).
- Information regarding general qualification of key staff (as per **Format-'D'**).
- Information regarding general experience of key staff (as per **Format-'E'**).
- Average turnover for the last 3 years (as per **Format-'F'**).
- Net loss/profit statement for last 3 years (as per **Format-'G'**).

**Note** - Information which have to be provided on the formats/proforma enclosed as Format A to H need not to be supported by any documentary evidence. Such documents/evidences in original shall have to be produced at the time of evaluation of the applications, if asked for by the Selection Committee (SC). Documents to be submitted may be scanned with 100 dpi with black and white option which helps in reducing size of the scanned copies. No financial bid is needed at EOI stage. This EOI is only for shortlisting of Consultants.

6. **OPENING PROCESS OF THE PROPOSALS**

- 6.1. The evaluation of technical offers/proposals of the interested applicants shall be done by Selection Committee (SC) of UPPWD.
- 6.2. The SC will evaluate the proposals on the basis of past experience of the Consultant, general profile of qualification, experience and number of key staff, overall financial strength of the Consultant in terms of turnover, profitability and cash flow situation etc.
- 6.3. Evaluation of the technical offers/proposals shall be based on the documents and information uploaded on the website. The original offer/proposal shall be submitted in hard copy after opening of the proposals if it is asked for by the SC. In case the documents uploaded differs from the documents submitted in hard copy, the documents uploaded shall be considered for evaluation and in case the documents are not clear and unreadable, the SC will have final authority for evaluation of such proposals on the basis of hard copy submitted.

## 7. **Evaluation OF THE PROPOSAL FOR SHORTLISTING OF CONSULTANTS**

7.1. The qualification criteria and their weightage for evaluation for shortlisting of Consultants shall be as detailed below :

**Table-1 Qualification Criteria and their weightages**

S.No.	Criteria	Weightage	
	Sub-Criteria	Criteria Total	Sub-Criteria
1	Past experience of the Consultant (track record)	60%	
	<ul style="list-style-type: none"><li>• Number of year's relevant experience</li><li>• Past experience of studies (Consultancy) of similar nature</li><li>• Past experience in carrying out<ul style="list-style-type: none"><li>○ Studies (Consultancy) in the related sector</li><li>○ Studies (Consultancy) carried out in the region</li></ul></li></ul>		20% 50% 20% 10%
2	General profile of qualification, experience and number of key staff (not individual CVs)	25%	
	<ul style="list-style-type: none"><li>• Qualifications</li></ul>		30%
	<ul style="list-style-type: none"><li>• Relevant experience</li></ul>		70%
3	Overall financial strength of the Consultant in terms of turnover, profitability and cash flow (liquid assets) situation.	15%	
	Turnover figure for last three years.		50%
	Net profit figure for last three years.		50%
	Total	100%	

**Qualifying marks will not be less than 75%. Minimum 3 and generally not more than 8 applicants shall be shortlisted at EOI stage for a project according to merit of evaluation score.**

7.2. Depending upon the nature and cost of the project, the benchmarks for pre-qualification for the various criteria, given in the above table, shall be decided by SC before evaluation of the proposals and the same shall be circulated to all the members of the SC, to maintain uniform and transparent evaluation of the proposals.

7.3. Maximum score and the scoring pattern for various criteria and sub-criteria of the qualification shall be decided by SC, before evaluation of the proposals and the same shall be circulated to all the members of the SC, to maintain uniform and transparent evaluation of the proposals.

## 8. **ELIGIBILITY REQUIREMENTS**

UPPWD intends to acquire comprehensive integrated consultancy services from reputed firms having experience and expertise in the field of Road Safety Audit services, for shortlisting of Consultants. The firms may be from private or State/Central Government PSUs having experience and expertise in the field of Road Safety Audit for imparting consultancy services to UPPWD.

The firms should be registered under relevant Companies Act of Private Limited/Limited Liability Partnership (LLP)/Limited Company.

## 9. **OTHERS**

9.1. Selection Committee (SC) reserves the right to verify the credentials submitted by the agency at any stage (before or after shortlisting). If at any stage, any information/documents submitted by the applicant is found to be incorrect/false or have some discrepancy which disqualifies the firm, the agency shall be liable for debarment from bidding in UPPWD.

- 9.2.No single firm shall be permitted to submit two separate offers either as individual or as associate/consortium or under two different associate/consortium.
- 9.3.No two concerns in which an individual has interest involved, as proprietor, shareholder and / or partner shall tender for the execution of the project. If they do so, all such offers shall be liable to rejected. In case such involvement is detected at a later date during the currency of the project, the agreement shall be terminated without notice and appropriate legal action shall be taken including debarring the agency from bidding in UPPWD for a period of 3 years.
- 9.4.If the applicant participating in any of the EOI is a private or public limited company, partnership firm or proprietary firm and any of the Directors/Partners/Proprietor of such company is also a director of any other company or partner of a concern or a sole proprietor having established business with UPPWD and has outstanding dues payable to the UPPWD, then the said applicant shall not be allowed to participate in any UPPWD EOI offers.
- 9.5.Interested applicants are supposed to have read this notice and all documents and has made himself aware of the scope of the works to be done.
- 9.6.EOI offer is not allowed by an applicant whose near relative is posted as Finance Comptroller /Divisional Accountant, an Architect/ Engineer in any capacity in zones of the concerned project. He shall also intimate the names of persons who are working with him in any capacity or are subsequently employed by him and who are near relatives to any officer in UPPWD. Any breach of these conditions by the consultant would render him liable to be debarred from tendering for next 3 years.
- 9.7.If the applicant firm has any employee related to UPPWD, a list of such employees is to be furnished and informed separately in EOI offer.
- 9.8.No officer of rank of Assistant Engineer and above employed in UPPWD/State/Central Govt. is allowed to work as a consultant for a period of two years of his retirement from UPPWD/State/Central Govt. service, without the prior permission of concerned authority. The contract is liable to be cancelled if either the consultant or any of his employees is found at any time to be such a person who had not obtained the permission from the concerned authority as aforesaid before submission of the bid or engagement in the consultant's service.
- 9.9.UPPWD reserves the right to accept or reject any or all applications, to call off EOI process at any stage without assigning any reason and in such case no applicant shall have any claim arising out of suchacton

# FORMATS



**General Information**

1.	Name of Firm	
2.	Address for correspondence	
3.	Official e-mail for communication	
4.	Contact Person: Telephone Nos. Mobile Nos.	
5.	Type of Organization: a) A Proprietorship firm b) LLP Firm c) A Limited Company d) Any other (mention the type)	
6.	Place and Year of Incorporation	
7.	Name of Directors/Partners in the organization and their status.	
8.	Date & Place of Registration and Registration No.	
9.	GST No.	
10.	PAN No. of the firm	

**Note:**

1. No documentary evidence is to be attached.
2. In case of Joint Venture details of all partners along with specifying lead partner is to be mentioned.

Signature of the Applicant

**Details of Experience & Projects Completed**

**(Experience of similar nature of work i.e. Road Safety Audit Works)**

Sl. No.	Name of Project & location	Owner or sponsoring organization	Cost of the Project (in Crore)	Agreement No./Contract No. & Date	Date of Commencement as per contract	Stipulated date of completion	Actual date of completion	Name & Address/Contact No. of completion certificate issuing officer	Remarks (Satisfactory/Unsatisfactory)
1	2	3	4	5	6	7	8	9	10

**Note:**

1. No documentary evidence is to be attached.
2. If any litigation related to previous works are in progress then that has to be mentioned specifically in Remarks column.

Signature of the Applicant

**Details of Experience in Related Sector**

**(Specific Experience in the related sector)**

SI. No.	Name of Project & location	Owner or sponsoring organization	Cost of the Project (in Lacs)	Agreement No./Contract No. & Date	Date of Commencement as per contract	Stipulated date of completion	Actual date of completion	Name & Address/ Contact No. of completion certificate issuing officer	Completed/ ongoing with % of completion	Remarks (Satisfactory/ Unsatisfactory)
1	2	3	4	5	6	7	8	9	10	11

**Note:**

1. No documentary evidence is to be attached.
2. If any litigation related to previous works are in progress then that has to be mentioned specifically in Remarks column.

Signature of the Applicant

**Details of Projects in Uttar Pradesh / Other States**

**(Experience in terms of Geographical region)**

SI. No.	Name of Project & location (specify State)	Owner or sponsoring organization	Cost of the Project (in Lacs)	Agreement No./Contract No. & Date	Date of Commencement as per contract	Stipulated date of completion	Actual date of completion	Name & Address/ Contact No. of completion certificate issuing officer	Completed/ ongoing with % of completion	Remarks (Satisfactory/ Unsatisfactory)
1	2	3	4	5	6	7	8	9	10	11

**Note:**

1. No documentary evidence is to be attached.
2. If any litigation related to previous works are in progress then that has to be mentioned specifically in Remarks column.

Signature of the Applicant

**Details of Strength and Qualification of Key Staff**

Total number of Key Staff - .....

Details to be provided in the following table :-

Sl. No.	Name	Qualification	Designation	Date of Joining/Attachment in the Firm	Proposed Role in the Project	Remarks
1	2	3	4	5	6	7

**Note:**

1. No documentary evidence is to be attached.
2. If any key personnel is replaced during agreement period then it has to be done with the prior approval of the employer as detailed in RFP.

Signature of the Applicant

**Details of General Experience of Key Staff**

Sl. No.	Name	Qualification	Details of Experience			Years of Experience in related sector	Remarks
			Name of Organization	Period/Years	Nature of Work		
1	2	3	4	5	6	7	8

**Note:**

1. No documentary evidence is to be attached.
2. If any key personnel is replaced during agreement period then it has to be done with the prior approval of the employer as detailed in RFP.

Signature of the Applicant

**Financial Turnover for the last 3 financial year**

Sl. No.	Name of the Firm	Financial Year (in ascending order)	Annual Turnover (in Lacs)	Multiplying Factor	Average Turnover (in Lacs)	Remarks
1	2	3	4	5	6	7
1		2017-18		1.26		
2		2018-19		1.17		
3		2019-20		1.08		

**Note:**

1. No documentary evidence is to be attached.
2. If any litigation related to previous works are in progress then that has to be mentioned specifically in Remarks column.

Signature of the Applicant

**Financial Profit / Loss Statement**

Sl. No.	Name of the Firm	Financial Year (in Ascending order)	Profit(+)/ Loss (-) (in Lacs)	Multiplying Factor	Average Profit/Loss (in Lacs)	Remarks
1	2	3	4	5	6	7
		2017-18		1.26		
		2018-19		1.17		
		2019-20		1.08		

**Note:**

1. No documentary evidence is to be attached.
2. If any litigation related to previous works are in progress then that has to be mentioned specifically in Remarks column.

Signature of the Applicant



# **ANNEXURES**

**OFFICE OF THE SUPERINTENDING ENGINEER  
LUCKNOW CIRCLE, PWD, LUCKNOW**

**Notice Inviting  
Expression of Interest (EOI)**

Superintending Engineer, Lucknow Circle, PWD, Lucknow on behalf of Governor of Uttar Pradesh invites Expression of Interest (EOI) for Comprehensive Integrated Consultancy Services from reputed firms having experience and expertise in the field of Road Safety Audit for shortlisting. The detailed EOI documents are available on U.P. Government e-tender portal <http://www.etender.up.nic.in> and UPPWD website <http://www.uppwd.gov.in>.

- Period for downloading of EOI document form **12.12.2020** at 12:00 pm to **05.01.2021** upto 03:00 pm.
- Last date of submission of proposal **05.01.2021** upto 3:00 pm
- Opening of proposal **05.01.2021** at 3:30 pm.

**Note:**

1. The applicants are requested to keep on checking website **www.etender.up.nic.in** or **www.uppwd.gov.in** regularly for any corrigendum which shall not be published in any newspaper.
2. The formats and other details of the EOI are available on the website **www.etender.up.nic.in** or **www.uppwd.gov.in**
3. Processing & Document fee is not required at EOI stage.
4. The detailed scope of project and consultancy services shall be provided in the RFP document to be issued to the shortlisted Consultant.
5. The intended applicants are advised to procure digital signature certificate (Class-III) from UP Electronics Corporation Ltd Lucknow, to participate in e-tender process.
6. No documentary evidence is required to be enclosed at EOI stage. Only details are to be filled in the formats A to H which shall be the basis for evaluation of the applicant's firm for shortlisting.
7. On the said portal by clicking "Tenders by Organization", then under organization name "Chief Engineer, PWD Central Zone, Lucknow" the EOI document can be searched by date/number of tender notices, by name of the work etc.

**(Pradeep Rastogi)**  
**Executive Engineer**  
**Construction Division-2**  
**PWD, Lucknow**

**(J.K. Banga)**  
**Superintending Engineer**  
**Lucknow Circle, PWD**  
**Lucknow**  
For and on behalf of Governor of UP

